

Oct. 26. 2005 9:14AM

No. 1411 P. 3

OCT 26 2005

PATENT

(Practitioner's Docket No. PAT-01050)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Andreas POPPE, et al.

Serial No.: 10/525,268

Filed: 02/22/2005

For: NANOPARTICLES, METHOD FOR
MODIFYING THEIR SURFACES,
DISPERSION OF NANOPARTICLES,
METHOD FOR THE PRODUCTION AND
THE UTILIZATION THEREOF

Group Art Unit: 1713

Examiner:

I hereby certify that the following papers are being
facsimile transmitted to the Patent and Trademark Office
fax No.: (703) 571 273-8300 on the date below:

October 26, 2005

Date


Marjorie Ellis

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

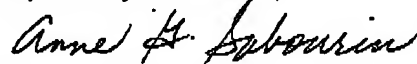
Sir:

Submitted herewith for the above-identified patent application is the English Translation of the International Preliminary Examination Report.

Applicant believes that no fee is required. However, if applicant has inadvertently overlooked the need for a fee The Director – U.S. Patent and Trademark Office is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 23-3425.

This form is submitted in duplicate.

Respectfully submitted,



Anne Gerry Sabourin
Registration No. 33,772

Date 10-26-05

BASF Corporation
26701 Telegraph Road
Southfield, MI 48034-2442
(248)-948-2021
Customer No. 26922

OCT 26 2005

PATENT

(Practitioner's Docket No. PAT-01050)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Andreas POPPE, et al.

Serial No.: 10/525,268

Filed: 02/22/2005

For: NANOPARTICLES, METHOD FOR
MODIFYING THEIR SURFACES,
DISPERSION OF NANOPARTICLES,
METHOD FOR THE PRODUCTION AND
THE UTILIZATION THEREOF

Group Art Unit 1713

Examiner:

I hereby certify that the following papers are being
facsimile transmitted to the Patent and Trademark Office
fax No.: (703) 571 273-8300 on the date below:

October 26, 2005

Date


Marjorie Ellis

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450


Sir:

Submitted herewith for the above-identified patent application is the English Translation of the International Preliminary Examination Report.

Applicant believes that no fee is required. However, if applicant has inadvertently overlooked the need for a fee The Director – U.S. Patent and Trademark Office is hereby authorized to charge any additional fees or credit any overpayment to Deposit Account No. 23-3425.

This form is submitted in duplicate.

Respectfully submitted,


Anne Gerry Sabourin
Registration No. 33,772

Date 10-26-05

BASF Corporation
26701 Telegraph Road
Southfield, MI 48034-2442
(248)-948-2021
Customer No. 26922

BEST AVAILABLE COPY

Oct. 26. 2005 9:14AM
From: Fitzner & Munch

RECEIVED
02102 46851
CENTRAL FAX CENTER

No. 1411 P. 5
30/09/2005 14:03 #597 P.003/004

OCT 26 2005

PATENT COOPERATION TREATY

PCT/EP2003/010922



PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

Applicant's or agent's file reference PATID1050PCT		FOR FURTHER ACTION See Notification of Transmittal of International Preliminary Examination Report (Form PCT/PEA/416)	
International application No. PCT/EP2003/010922	International filing date (day/month/year) 02 October 2003 (02.10.2003)	Priority date (day/month/year) 10 October 2002 (10.10.2002)	
International Patent Classification (IPC) or national classification and IPC C08G 18/38			
Applicant BASF COATINGS AG			

1. This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36.
2. This REPORT consists of a total of 2 sheets, including this cover sheet.
- ☐ This report is also accompanied by ANNEXES, i.e., sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT).
- These annexes consist of a total of sheets.

3. This report contains indications relating to the following items:

- I ☒ Basis of the report
- II ☐ Priority
- III ☐ Non-establishment of opinion with regard to novelty, inventive step and industrial applicability
- IV ☐ Lack of unity of invention
- V ☒ Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- VI ☐ Certain documents cited
- VII ☐ Certain defects in the international application
- VIII ☐ Certain observations on the international application

Date of submission of the demand 06 May 2004 (06.05.2004)	Date of completion of this report 04 August 2004 (04.08.2004)
Name and mailing address of the IPBA/EP	Authorized officer

BEST AVAILABLE COPY

**INTERNATIONAL PRELIMINARY
EXAMINATION REPORT**

International application No. PCT/EP2003/010922

I. Basis of the report

The basis of International preliminary examination report is the application as originally filed.

V. Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability

In light of the documents cited in the International search report, it is considered that the invention as defined in at least some of the claims does not appear to meet the criteria mentioned in Article 33(1) PCT, i.e. does not appear to be novel and/or to involve an inventive step (see International search report, in particular the documents cited X and/or Y and corresponding claim references).